

PTO/SB/81 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	10/646,948
	Filing Date	August 21, 2003
	First Named Inventor	Krisztina M. ZSEBO
	Title	METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME
	Art Unit	1614
	Examiner Name	Not Yet Assigned
	Attorney Docket No.	220002064500

I hereby appoint:

- ☒ Practitioners associated with the Customer Number
- OR
- ☐ Practitioner(s) named below:

Name	Registration Number	Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

- ☐ The address associated with the above-mentioned Customer Number:
- OR
- ☐ The address associated with
Customer Number:
- OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:

- ☐ Applicant/Inventor.
- ☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Linda S. Stevenson
Signature	<i>Linda S. Stevenson</i>
Date	9/1/04
Telephone	(510) 587-6000

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Krisztina M. ZSEBO et al.Application No./Patent No.: 10/646,948Filed/Issue Date: August 21, 2003Entitled: METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR
SAMEThe Regents of The University of California, a
(Name of Assignee)

corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____ ,
Frame _____ , or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ , Frame _____ , or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ , Frame _____ , or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ , Frame _____ , or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

- [] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

9/1/04

Date

(510) 587-6000

Telephone Number

Linda S. Stevenson

Typed or printed name

Linda S. Stevenson

Signature

Manager, Patent Prosecution

Title

09/02/04 THU 17:41 FAX 650 494 0792

MOFO BLDG B1

002

PATENT
Docket No. 220002064500

ASSIGNMENT

COPY

UC Case No.: 2003-512-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S), *MM 7/21/04*

1. ~~Kristina M. ZSEBO, 226 Santa Cruz Boulevard, Santa Barbara, California 93109~~
2223 Avenida de la Playa, Ste 300, San Jose, CA 92087
2. Roymarie BALLESTER, 220 E. Alamar Avenue, Santa Barbara, California 93105
3. Gary SCHOOLNIK, 2530 Greer Road, Palo Alto, California 94303
4. Steven M. JULIO, 1121-1/2 Bath Street, Santa Barbara, California 93101
5. Andrew F. GIUSTI, 7262 Calle Real, Goleta, California 93117

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/646,948 filed August 21, 2003
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

09/02/04 THU 17:42 FAX 650 494 0792

MOFO BLDG B1

003

PATENT
Docket No. 220002064500

executed this

21 day of July, 2004

____ day of _____, 2004

____ day of _____, 2004

____ day of _____, 2004

____ day of _____, 2004

Signature of Inventor(s)


Kristina M. ZSEBO

Roymarie BALLESTER

Gary SCHOOLNIK

Steven M. JULIO

Andrew F. GIUSTI

ASSIGNMENT

COPY

UC Case No.: 2003-512-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Krisztina M. ZSEBO, 226 Santa Cruz Boulevard, Santa Barbara, California 93109
2. Roymarie BALLESTER, 220 E. Alamar Avenue, Santa Barbara, California 93105
3. Gary SCHOOLNIK, 2530 Greer Road, Palo Alto, California 94303
4. Steven M. JULIO, 1121-½ Bath Street, Santa Barbara, California 93101
5. Andrew F. GIUSTI, 7262 Calle Real, Goleta, California 93117

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/646,948 filed August 21, 2003
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

____ day of _____, 2004

6 day of June, 2004

____ day of _____, 2004

____ day of _____, 2004

____ day of _____, 2004

Signature of Inventor(s)

Kristina M. ZSEBO

Raymarie Ballester

Raymarie BALLESTER

Gary SCHOOLNIK

Steven M. JULIO

Andrew F. GIUSTI

ASSIGNMENT

COPY

UC Case No.: 2003-512-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Krisztina M. ZSEBO, 226 Santa Cruz Boulevard, Santa Barbara, California 93109
2. Roymarie BALLESTER, 220 E. Alamar Avenue, Santa Barbara, California 93105
3. Gary SCHOOLNIK, 2530 Greer Road, Palo Alto, California 94303
4. Steven M. JULIO, 1121-½ Bath Street, Santa Barbara, California 93101
5. Andrew F. GIUSTI, 7262 Calle Real, Goleta, California 93117

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/646,948 filed August 21, 2003
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

_____ day of _____, 2004

_____ day of _____, 2004

20 day of June, 2004

_____ day of _____, 2004

_____ day of _____, 2004

Signature of Inventor(s)

Krisztina M. ZSEBO

Roymarie BALLESTER

G. Schoolnik
Gary SCHOOLNIK

Steven M. JULIO

Andrew F. GIUSTI

ASSIGNMENT

COPY

UC Case No.: 2003-512-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Krisztina M. ZSEBO, 226 Santa Cruz Boulevard, Santa Barbara, California 93109
 2. Roymarie BALLESTER, 220 E. Alamar Avenue, Santa Barbara, California 93105
 3. Gary SCHOOLNIK, 2530 Greer Road, Palo Alto, California 94303
 4. Steven M. JULIO, ~~1421 1/2 Bath Street, Santa Barbara, California 93101~~ 5212 Calle Cristobal, Santa Barbara, CA 93111
 5. Andrew F. GIUSTI, 7262 Calle Real, Goleta, California 93117
- 8/30/04 SJ

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/646,948 filed August 21, 2003
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

____ day of _____, 2004

____ day of _____, 2004

____ day of _____, 2004

30ⁿ day of August, 2004


____ day of _____, 2004

Signature of Inventor(s)

Krisztina M. ZSEBO

Roymarie BALLESTER

Gary SCHOOLNIK



Steven M. JULIO

Andrew F. GIUSTI

ASSIGNMENT

UC Case No.: 2003-512-1

COPY

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Krisztina M. ZSEBO, 226 Santa Cruz Boulevard, Santa Barbara, California 93109
2. Roymarie BALLESTER, 220 E. Alamar Avenue, Santa Barbara, California 93105
3. Gary SCHOOLNIK, 2530 Greer Road, Palo Alto, California 94303
4. Steven M. JULIO, 1121-1/2 Bath Street, Santa Barbara, California 93101
5. Andrew F. GIUSTI, ~~7262 Calle Real, Goleta, California 93117~~ *At 6-28-04*

5656 Arbor Grove Court San Diego, CA 92121

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/646,948 filed August 21, 2003
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

_____ day of _____, 2004

_____ day of _____, 2004

_____ day of _____, 2004

_____ day of _____, 2004

28 day of June, 2004

Signature of Inventor(s)

Krisztina M. ZSEBO

Roymarie BALLESTER

Gary SCHOOLNIK

Steven M. JULIO

Andrew F. Giusti
Andrew F. GIUSTI



PATENT
Docket No. 220002064500
Client Ref. 2003-512-1

DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME**, the specification of which is attached hereto unless the following box is checked:

☒ was filed on August 21, 2003 as United States Application Serial No. 10/646,948.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
60/405,603	August 21, 2002

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

7/20/04
Date

KM Julio
Name: Kysztina M. ZSEBO
Residence: Santa Barbara, California *San Diego La Jolla*
Citizenship: United States of America
Post Office Address: ~~226 Santa Cruz Boulevard, Santa Barbara, California 93109~~
2223 Avenida de la Playa Suite 300
La Jolla San Diego, CA 92037

Date

Name: Roymarie BALLESTER
Residence: Santa Barbara, California
Citizenship: United States of America
Post Office Address: 220 E. Alamar Avenue, Santa Barbara, California 93105

Date

Name: Gary SCHOOLNIK
Residence: Palo Alto, California
Citizenship: United States of America
Post Office Address: 2530 Greer Road, Palo Alto, California 94303

Date

Name: Steven M. JULIO
Residence: Santa Barbara, California
Citizenship: United States of America
Post Office Address: 1121-1/2 Bath Street, Santa Barbara, California 93101

Date

Name: Andrew F. GIUSTI
Residence: Goleta, California
Citizenship: United States of America
Post Office Address: 7262 Calle Real, Goleta, California 93117



PATENT
Docket No. 220002064500
Client Ref. 2003-512-1

DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME, the specification of which is attached hereto unless the following box is checked:

☒ was filed on August 21, 2003 as United States Application Serial No. 10/646,948.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
60/405,603	August 21, 2002

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date _____ Name: Krisztina M. ZSEBO
Residence: Santa Barbara, California
Citizenship: United States of America
Post Office Address: 226 Santa Cruz Boulevard, Santa Barbara, California 93109

6/14/04

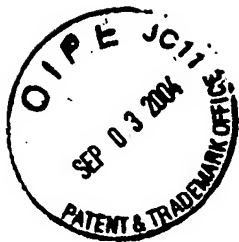
Krisztina M. Zsebo

Date _____ Name: Roymarie BALLESTER
Residence: Santa Barbara, California
Citizenship: United States of America
Post Office Address: 220 E. Alamar Avenue, Santa Barbara, California 93105

Date _____ Name: Gary SCHOOLNIK
Residence: Palo Alto, California
Citizenship: United States of America
Post Office Address: 2530 Greer Road, Palo Alto, California 94303

Date _____ Name: Steven M. JULIO
Residence: Santa Barbara, California
Citizenship: United States of America
Post Office Address: 1121-½ Bath Street, Santa Barbara, California 93101

Date _____ Name: Andrew F. GIUSTI
Residence: Goleta, California
Citizenship: United States of America
Post Office Address: 7262 Calle Real, Goleta, California 93117



PATENT
Docket No. 220002064500
Client Ref. 2003-512-1

DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME, the specification of which is attached hereto unless the following box is checked:

☒ was filed on August 21, 2003 as United States Application Serial No. 10/646,948.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
60/405,603	August 21, 2002

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

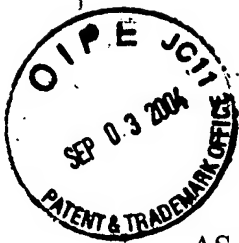
Date Name: Krisztina M. ZSEBO
Residence: Santa Barbara, California
Citizenship: United States of America
Post Office Address: 226 Santa Cruz Boulevard, Santa Barbara, California 93109

Date Name: Roymarie BALLESTER
Residence: Santa Barbara, California
Citizenship: United States of America
Post Office Address: 220 E. Alamar Avenue, Santa Barbara, California 93105

June 20, 2004 g. Schoolnik
Date Name: Gary SCHOOLNIK
Residence: Palo Alto, California
Citizenship: United States of America
Post Office Address: 2530 Greer Road, Palo Alto, California 94303

Date Name: Steven M. JULIO
Residence: Santa Barbara, California
Citizenship: United States of America
Post Office Address: 1121-1/2 Bath Street, Santa Barbara, California 93101

Date Name: Andrew F. GIUSTI
Residence: Goleta, California
Citizenship: United States of America
Post Office Address: 7262 Calle Real, Goleta, California 93117



PATENT
Docket No. 220002064500
Client Ref. 2003-512-1

DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME, the specification of which is attached hereto unless the following box is checked:

☒ was filed on August 21, 2003 as United States Application Serial No. 10/646,948.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
60/405,603	August 21, 2002

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date _____ Name: Krisztina M. ZSEBO
 Residence: Santa Barbara, California
 Citizenship: United States of America
 Post Office Address: 226 Santa Cruz Boulevard, Santa Barbara, California 93109

Date _____ Name: Roymarie BALLESTER
 Residence: Santa Barbara, California
 Citizenship: United States of America
 Post Office Address: 220 E. Alamar Avenue, Santa Barbara, California 93105

Date _____ Name: Gary SCHOOLNIK
 Residence: Palo Alto, California
 Citizenship: United States of America
 Post Office Address: 2530 Greer Road, Palo Alto, California 94303

8/30/04 _____
 Date _____ Name: Steven M. JULIO
 Residence: Santa Barbara, California
 Citizenship: United States of America
 Post Office Address: ~~1121 1/2 Bath Street, Santa Barbara, California 93101~~

5212 Calle Cristobal
 Santa Barbara, CA 93111 8/30/04 SJ

Date _____ Name: Andrew F. GIUSTI
 Residence: Goleta, California
 Citizenship: United States of America
 Post Office Address: 7262 Calle Real, Goleta, California 93117



PATENT
Docket No. 220002064500
Client Ref. 2003-512-1

DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD OF GENERATING AN IMMUNE RESPONSE AND COMPOSITIONS USED FOR SAME, the specification of which is attached hereto unless the following box is checked:

☒ was filed on August 21, 2003 as United States Application Serial No. 10/646,948.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
60/405,603	August 21, 2002

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date _____ Name: Krisztina M. ZSEBO
 Residence: Santa Barbara, California
 Citizenship: United States of America
 Post Office Address: 226 Santa Cruz Boulevard, Santa Barbara, California 93109

Date _____ Name: Roymarie BALLESTER
 Residence: Santa Barbara, California
 Citizenship: United States of America
 Post Office Address: 220 E. Alamar Avenue, Santa Barbara, California 93105

Date _____ Name: Gary SCHOOLNIK
 Residence: Palo Alto, California
 Citizenship: United States of America
 Post Office Address: 2530 Greer Road, Palo Alto, California 94303

Date _____ Name: Steven M. JULIO
 Residence: Santa Barbara, California
 Citizenship: United States of America
 Post Office Address: 1121-1/2 Bath Street, Santa Barbara, California 93101

6-28-04 _____ *Andrew F. Giusti*
 Date Name: Andrew F. GIUSTI
 Residence: Goleta, California
 Citizenship: United States of America
 Post Office Address: ~~7262 Calle Real, Goleta, California 93117~~ *AL 6-28-04*
5555 Arbor Grove Court San Diego, CA 92121